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EGFR mutations and human papillomavirus in squamous cell carcinoma of tongue and tonsil ☆

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ARTICLE INFO

Article history:

Received 14 August 2006

Received in revised form 1

September 2006

Accepted 7 September 2006

Keywords:

Human papillomavirus

Head and neck cancer

Tonsillar cancer

Tongue cancer

EGFR

Mutation

ABSTRACT

This study was performed to determine the clinical significance of mutations in the EGFR (epidermal growth factor receptor) along with their association with human papillomavirus (HPV) infections in patients with squamous cell carcinoma of the head and neck (HNSCC). Exons 18–21 of the EGFR tyrosine kinase domain were sequenced and HPV typing was carried out using the HPV DNA chip in tissues obtained from patients with tongue and tonsil cancer. Univariate and multivariate analyses were used to identify the significant factors. One hundred and eight patients were enrolled. Ten patients (9%) were HPV positive and 17 (16%) had EGFR mutations. None of the patients with EGFR mutations were HPV positive. Gender, age (<60 years versus ≥60 years), and smoking history were not associated with EGFR mutations. A higher percentage of patients with tonsillar cancer were HPV positive than those with tongue cancer (26% and 0%, respectively; $P < 0.001$). EGFR mutations were not a significant prognostic factor ($P = 0.746$). HPV-positive patients had prolonged survival ($P = 0.025$). Multivariate analysis revealed a longer overall survival in HPV-positive patients ($P = 0.007$). EGFR mutations are not associated with the HPV-positive status, which may confer a better survival outcome. Clinical features of lung cancer patients with EGFR mutations were not observed in HNSCC. A further study will be needed to confirm these results.

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☆ This work was presented at the 42nd annual meeting of the American Society of Clinical Oncology.

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doi:10.1016/j.ejca.2006.09.025

1. Introduction

Squamous cell carcinoma of the head and neck (HNSCC) is the eighth most common cause of cancer death worldwide.¹ Smoking and alcohol consumption are well-established risk factors. However, a small portion of patients with head and neck cancer are nonsmokers and nondrinkers.^{2,3} Recent studies have suggested that a human papillomavirus (HPV) infection is a risk factor for HNSCC.⁴ In addition, some authors have reported that an association between a HPV infection and nonsmokers.⁵ The association between a HPV infection and a carcinoma of the head and neck varies according to the anatomical site of the primary tumour. Previous studies have shown a strong association between tonsil cancer and a HPV infection.^{4–7}

Some investigators have reported EGFR mutations in a subset of patients with non-small-cell lung cancer (NSCLC).^{8,9} Among several factors, never smoking was associated with the presence of EGFR mutations in NSCLC patients.^{8,9} However, the etiology of these EGFR mutations in NSCLC is unknown. Taiwanese studies have suggested that a HPV 16/18 infection might be associated with this type of cancer in non-smoking, female lung cancer patients.¹⁰ These findings suggest a possible association between EGFR mutations and a HPV infection. In addition, some patients with head and neck cancer were responsive to tyrosine kinase inhibitors (TKI) such as gefitinib.¹¹ Another study reported the presence of EGFR mutations in three out of 41 patients with head and neck cancer.¹² However, the clinical impact of EGFR mutations in head and neck cancer is not completely understood.

This study tested the hypothesis that a HPV infection is related to EGFR mutations in head and neck cancer. To accomplish this, the prevalence and association of EGFR mutations and HPV infections were evaluated in a subset of patients with head and neck cancer. Patients with tongue and tonsil cancer were selected because previous studies have suggested they have a different association with a HPV infection.^{4,5,7} The data on EGFR mutations and a HPV infection was compared with the clinical features of the patients.

2. Patients and methods

2.1. Patients

Patients with squamous cell carcinoma of the tongue and tonsil were identified from the database of the Korea Cancer Centre Hospital (Seoul, Korea). The formalin-fixed paraffin-embedded tissues from 110 patients who underwent a local treatment modality such as surgery and radiation from July 1994 to December 2003 were examined. Patients who presented with a distant metastasis were excluded. Two pathologists reviewed all histology samples. Two cases with a sarcoma were excluded. The extent of the disease was assessed using clinical staging. The institutional review board of the Korea Cancer Centre Hospital approved this study.

2.2. Detection of HPV DNA

DNA was extracted from a formalin-fixed paraffin block with the highest percentage of tumours using a QIA amp DNA

Mini kit (Qiagen, Hilden, Germany). DNA purification, HPV genotyping, and EGFR DNA sequencing were carried out in separate laboratories. The HPV detection methods were essentially those previously reported by An and colleagues.^{13,14} The general primer pair, GPd5+/d6+ (located in L1), was used to detect the HPV DNA. Amplification HPV DNA was performed using the described method. β -globin was used as the internal control. The PCR products of all samples were detected by electrophoresis through 2% agarose gel. The positive samples were genotyped using an Easy HPV DNA CHIP®, which is a PCR-based DNA microarray system (Women Biotech Company, Seoul, Korea), according to the manufacturer's protocol. The HPV DNA chip contains 30 type-specific probes: 21 types from one group (HPV-16, HPV-18, HPV-26, HPV-30, HPV-31, HPV-33, HPV-35, HPV-39, HPV-45, HPV-51, HPV-52, HPV-53, HPV-56, HPV-58, HPV-59, HPV-61, HPV-66, HPV-68, HPV-70, HPV-73 and HPV-74) and 9 types from the other group (HPV-6, HPV-7, HPV-11, HPV-32, HPV-34, HPV-40, HPV-42, HPV-44, and HPV-55). The hybridisation signals on a HPV DNA chip were visualised using a ScanArray Lite scanner (Packard Biochip Technologies, Boston, USA).

2.3. EGFR DNA sequencing

The DNA for EGFR sequencing was extracted from the paraffin embedded tissues using the same method used for HPV genotyping. The DNA (100 ng) was amplified in a 20 μ L reaction solution containing 2 μ L of 10 \times buffer (Roche, Mannheim, Germany), 1.7–2.5 mmol/L MgCl₂, 250 μ M deoxynucleoside triphosphate, 2.5 units of DNA (Roche), and 0.3 M of each primer pair for EGFR exons: exon 18, forward: 5'-TCCAAATGAGCTGGCAAGTG-3', reverse: 5'-TCCCAAACACTCAGTGAAACAAA-3'; exon 19, forward: 5'-ATGTGGCACCATCTCACAATTGCC-3', reverse: 5'-CCACACAGCAAAGCAGAACTCAC-3'; exon 20, forward: 5'-CATTCATGCGTCTTCACCTG-3', reverse: 5'-CATATCCCCATGGCAAACCTC-3'; exon 21, forward: 5'-GCTCAGAGCCT GGCATGAA-3', reverse: 5'-CATCCTCCCTGCATGTGT-3'. The fragments were amplified using the following conditions: 5 min initial denaturation at 94 °C, followed by 30 cycles of 1 min at 94 °C, 1 min at 57 °C and 1 min at 72 °C, with a final 10 min extension at 72 °C. Purification and sequencing were performed as previously described.¹⁵

2.4. Statistical analysis

The Pearson χ^2 or Fisher's exact test was used for the categorical variables. The tests for the trend were performed using the Cochran–Armitage trend test. The overall survival was defined as the time from diagnosis to death from any cause. The survival curves were constructed using Kaplan–Meier method with an 80-month cutoff. The survival was compared using the log-rank test. Multivariate analysis was performed using the Cox proportional hazards model.

Stata version 8.2 was used for the statistical analyses. The Cox proportional hazards model was performed after first verifying that the proportional hazard assumptions had been met. Two-sided P values <0.05 were considered significant.

3. Results

3.1. Patient characteristics and treatment

Table 1 shows the baseline characteristics of the patients. Sixty (74%) patients were male. The median age was 52 years. Thirty-nine percent of patients had never smoked. Seventy (65%) patients were diagnosed with tongue cancer. The proportion of patients with stage I–II, III and IV cancer was 50%, 28%, and 22%, respectively. Forty-four (41%) and 22 (20%) patients were treated with radiation or surgery, respectively. Combined modality treatment including neoadjuvant chemotherapy (chemotherapy followed by radiation or surgery) was delivery in 42 (39%) patients. 5-fluorouracil (1000 mg/m² on days 1 to 5) and cisplatin (60 mg/m² on days 1) was delivered as neoadjuvant chemotherapy for up to three cycles¹⁶. No patients received TKI therapy.

3.2. HPV positivity

Eleven of the 108 (10%) patients showed positive findings for a HPV infection, and HPV 16 was identified in ten out of the 11 patients (90%). The HPV genotype could not be determined using sequence-based typing in one patient who showed no HPV DNA¹⁷, and was thus considered HPV negative. Age (<60 years versus ≥60 years) was not associated with a HPV infection (13% and 3%, respectively; $P = 0.100$) (Table 2). There was a similar prevalence of HPV positivity in the never-smokers and smokers (7% and 11%, respectively; $P = 0.545$). Gender was not associated with HPV positivity ($P = 0.758$). The proportion of HPV-positive patients was higher in those patients with tonsillar cancer than in those with tongue cancer (26% and 0%, respectively; $P < 0.001$). The histological grade was not associated with HPV positivity ($P = 0.185$). The prevalence

of HPV-positive tumours was not associated with advanced stage (P for trend = 0.118). However, patients with HPV-positive tumours were more likely to have a higher N stage (P for trend = 0.037).

3.3. EGFR mutations

EGFR mutations were identified in 17 (16%) patients (Tables 2 and 3). Six patients had deletions in exon 19. Eight patients harboured mutations in exon 20, and T790M was detected in two patients. C775Y, S784Y, F795S, Y801H, G810D, G810S, and Y813C were found in one patient each. Mutations in exon 21 were observed in three patients; P848L, L862P, and G863D. One patient carried two mutations, one in exon 20 (T790M) and one in exon 21 (P848L).

There was a similar mutation frequency in patients with tongue and tonsil cancer (14% and 18%, respectively; $P = 0.573$) (Table 2), and in males and females (16% and 14%, respectively; $P = 0.806$). The frequency of EGFR mutations was similar according to age (19% in patients <60 years and 8% in patients ≥60 years; $P = 0.135$). There was a similar frequency of mutations in the never-smokers and smokers (14% and 17%, respectively; $P = 0.740$). There were no gender differences in the incidence of EGFR mutations (16% and 14% for males and females, respectively; $P = 0.806$). HPV positivity was not associated with EGFR mutations ($P = 0.151$). No patient with EGFR mutations was HPV positive.

3.4. Survival Outcome

By December 2005, 56 patients had died. Table 4 shows the results of univariate analysis. Age (<60 years versus ≥60 years) was not a significant prognostic factor for the overall survival ($P = 0.831$). Gender and the primary site did not affect the overall survival ($P = 0.162$ and 0.279, respectively). The histological grade was not a significant prognostic factor ($P = 0.538$). An advanced stage conferred a worse survival outcome in terms of overall survival (P for trend = 0.007). The type of management did not affect the overall survival ($P = 0.105$). The never-smokers had a longer overall survival than the smokers ($P = 0.003$). Fig. 1A and B shows the overall survival according to the EGFR mutations and HPV positivity, respectively. The HPV positivity conferred a better survival (5-year survival rate: 44% versus 100%, $P = 0.025$). However, EGFR mutations was not a significant prognostic factor ($P = 0.746$).

Multivariate analysis was used to evaluate the survival data of the patients with EGFR mutations and a HPV infection after controlling for the stage and smoking history (Table 5). Patients with an advanced stage had a lower overall survival (P for trend = 0.004). Never-smokers had a better overall survival ($P = 0.011$). Compared with EGFR mutations, patients with HPV positivity had a longer overall survival ($P = 0.519$ and 0.007, respectively).

4. Discussion

There was no association found between EGFR mutations and a HPV infection in patients with tonsil and tongue cancer.

Table 1 – Patients' characteristics

Characteristic	N (%)
Age (years)	
Median	52
Range	13–83
Sex	
Male	80 (74)
Female	28 (26)
Smoking history	
Never-smoker	42 (39)
Smoker	66 (61)
Primary site	
Tongue	70 (65)
Tonsil	38 (35)
Stage	
I–II	54 (50)
III	30 (28)
IV	24 (22)
Initial Treatment	
Surgery only	44 (41)
Radiation only	22 (20)
Combined Treatment	42 (39)

Table 2 – HPV positivity and EGFR mutations related to the clinical features

Characteristic	HPV			EGFR		
	Negative	Positive	P	Wild type	Mutation	P
Total number (%)	98 (91)	10 (9)		91 (84)	17 (16)	
Age (years)			0.100			0.135
<60	63 (87)	9 (13)		58 (81)	14 (19)	
≥60	35 (97)	1 (3)		33 (92)	3 (8)	
Sex			0.758			0.806
Male	73 (91)	7 (9)		67 (84)	13 (16)	
Female	25 (89)	3 (11)		24 (86)	4 (14)	
Differentiation			0.185			0.225
Well to Moderate	77(93)	6 (7)		68 (82)	15 (18)	
Poorly	21(84)	4 (16)		23 (92)	2 (8)	
Smoking history			0.545			0.740
Never-smoker	39 (93)	3 (7)		36 (86)	6 (14)	
Smoker	59 (89)	7 (11)		55 (83)	11 (17)	
T stage			0.785			0.730
T1–2	75 (91)	7 (9)		68 (83)	14 (17)	
T3	12 (86)	2 (14)		12 (86)	2 (14)	
T4	11 (92)	1 (8)		11 (92)	1 (8)	
N Stage			0.037 ^a			0.496
N0	62 (94)	4 (6)		57 (86)	9 (14)	
N1	24 (92)	2 (8)		20 (77)	6 (23)	
N2	12 (75)	4 (25)		14 (88)	2 (13)	
Stage			0.118 ^a			0.405
I–II	51 (94)	3 (6)		47 (87)	7 (13)	
III	27 (90)	3 (10)		23 (77)	7 (23)	
IV	20 (83)	4 (17)		21 (88)	3 (13)	
Primary site			<0.001			0.573
Tongue	70 (100)	0 (0)		60 (86)	10 (14)	
Tonsil	28 (74)	10 (26)		31 (82)	7 (18)	

a P for trend.

Table 3 – Demographic data of the patients with EGFR mutations

No	Sex /Age	Smoking History	Site	Nucleotide change	Amino acid change
1	F/35	Never	Tonsil	del 2239–2248	del L747_E749, A750P
2	M/57	Smoked	Tonsil	del 2239–2248	del L747_E749, A750P
3	M/52	Smoked	Tonsil	del 2239–2247	del L747_E749
4	M/52	Smoked	Tonsil	del 2237–2251	del E746_T751 insA
5	M/51	Smoked	Tongue	del 2236–2250	del E746_A750
6	M/35	Smoked	Tongue	del 2235–2249	del E746_A750
7	M/54	Smoked	Tongue	2429 G > A	G810S
8	M/43	Smoked	Tongue	2369 C > T	T790M
9	M/23	Never	Tongue	2585 T > C	L862P
10	F/61	Never	Tongue	2351 C > A	S784Y
11	F/76	Never	Tongue	2384 T > C	F795S
12	M/31	Smoked	Tongue	2369 C > T, 2543 C > T	T790M P848L
13	M/51	Never	Tongue	2588 G > A	G863D
14	F/49	Smoked	Tongue	2429 G > A	G810D
15	M/49	Smoked	Tonsil	2438 A > G	Y813C
16	F/62	Never	Tonsil	2401 T > C	Y801H
17	M/53	Smoked	Tonsil	2324 G > A	C775Y

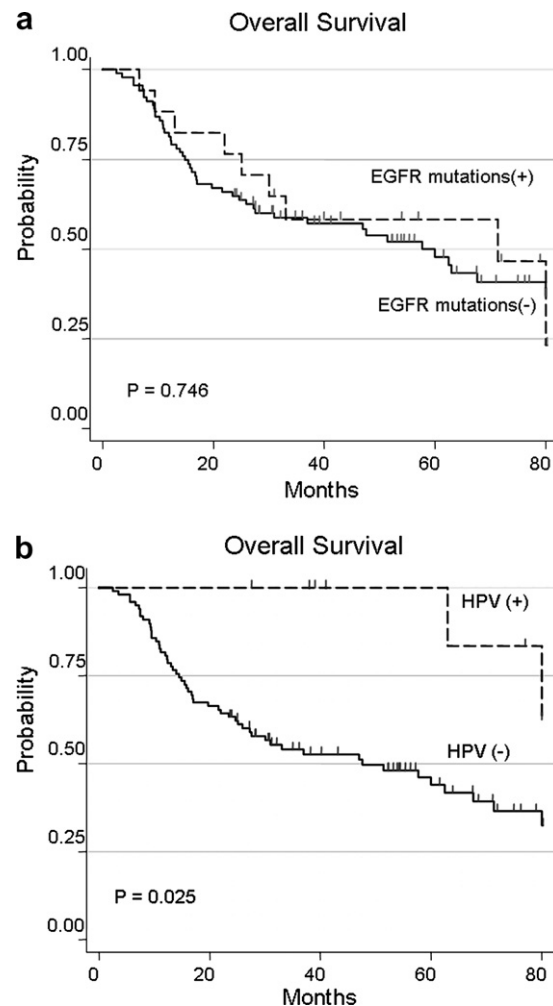
Although recent studies have reported HPV positivity and EGFR mutations in HNSCC, no patients who had an EGFR mutation were positive to the HPV in the present study.^{5,12} The etiology of EGFR mutations remains unknown. Although this study was not designed to assess the survival outcomes of patients with EGFR mutations, there was no apparent difference in the survival outcome of EGFR mutations.

In this study, the clinical features of NSCLC with EGFR mutations were not observed in tongue and tonsillar cancer patients with EGFR mutations. In the patients with tongue and tonsillar cancer, the gender and smoking history were not associated with EGFR mutations. Besides the differences in the patients' clinical features, there appeared to be a difference between the patients in this study and NSCLC patients

Table 4 – Results of univariate analysis

Characteristic	Overall survival		
	5YR(%)	95% CI	P
Age			0.831
<60 yr	51	38–63	
≥60 yr	46	27–63	
Sex			0.162
Male	46	33–57	
Female	60	36–77	
Primary Site			0.279
Tongue	44	32–56	
Tonsil	61	41–76	
Differentiation			0.538
Well to Moderate	47	35–59	
Poorly	55	32–74	
Stage			0.007 ^a
I–II	57	42–70	
III	52	29–69	
IV	32	15–51	
Management			0.105
Surgery	58	41–72	
Radiation	56	32–75	
Combined Treatment	38	22–54	
Smoking history			0.003
Never-smoker	67	50–79	
Smoker	38	24–51	
EGFR			0.746
Wild type	47	36–59	
Mutation	58	32–78	
HPV			0.025
Negative	44	33–55	
Positive	100	NR	

5YR, five-year survival rate; CI, confidence interval; NR, not reached.
a P for trend.

**Fig. 1 – Kaplan-Meier plots of overall survival according to the presence of EGFR mutations (A) and HPV positivity (B).**

in a previous report.⁹ Although the deletion type of mutation in exon 19 (six patients), as reported in NSCLC, was observed, mutations in exon 20 were more common (nine patients) in the present study.⁹ In our patients, all mutations in exon 20 were single nucleotide substitutions; a TKI-resistant point mutation, T790M, was found in two patients.¹⁸ Some authors have reported a less dramatic and shorter response to TKI in patients with head and neck cancer.^{11,19} Theoretically, all types of EGFR mutations may lead to a response to TKI in patients with NSCLC.^{15,20,21} However, a recent study suggested different survival outcome according to the subtype of EGFR mutation.²² The different types of EGFR mutation may explain the previously reported response to TKI.^{11,19} Further studies will be needed to examine the prognostic impact of EGFR mutations in head and neck cancer, particularly in relation to TKI.

In this study, the never-smokers had a longer survival, which is consistent with previous data.²³ There was a 26% prevalence of a HPV infection in patients with tonsil cancer in this study, which is within the range reported previously.^{4,5,24} The higher prevalence of HPV positivity in tonsillar cancer compared with tongue cancer is also consistent with other studies.^{4,25} Several studies have reported a better

survival in patients with tongue and tonsil cancer when HPV DNA is detected^{26,27}, as found in this study. The reason for improved survival in HPV-positive patients is unclear. Previous study reported that genotoxic treatment can reduce E6/E7 expression and induce apoptosis in HPV-positive cancer cell line.²⁸ Therefore, HPV-positive tumours may have an intact apoptotic response to radiation and chemotherapy.^{29,30}

Some studies have reported the genotyping results using a PCR-based DNA microarray system in cervical cancer.^{13,14} Genotyping using the DNA chip requires less time to run multiple PCR reactions and might be a convenient way to genotype HPV. A single genotype (HPV16) was observed in this study. However, more studies will be needed to determine its usefulness in patients with head and neck cancer.

This study showed that EGFR mutations were not related to the HPV positive status in HNSCC. In addition, the clinico-molecular features of EGFR mutations in HNSCC may be different from those in NSCLC. However, these results were collected from a relatively small sample size. Therefore, further study will be needed to confirm these findings.

Table 5 – Results of the Cox proportional hazards model

Characteristic	Overall survival		
	Hazard Ratios	95% CI	P
Stage			0.004 ^a
I–II	1	Reference	
III	1.27	0.64–2.52	0.488
IV	2.65	1.40–4.99	0.002
Smoking History			
Never-smoker	1	Reference	
Smoker	2.27	1.21–4.27	0.011
EGFR			
Wild type	1	Reference	
Mutations	0.78	0.37–1.65	0.519
HPV			
Negative	1	Reference	
Positive	0.14	0.03–1.59	0.007

CI, confidence interval.
a P for trend.

Conflict of interest statement

None declared.

Acknowledgement

This work was supported in part by AstraZeneca Pharmaceuticals.

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